

RECORD OF PROCESSING ACTIVITY

Management of potential criminal offences

1. Controller(s) of data processing activities

Controller: *European Central Bank (ECB)*

Contact details:

European Central Bank

Sonnemannstrasse 22

60314 Frankfurt am Main

Germany

E-mail: imas.support@ecb.europa.eu

Organisational unit responsible for the processing activity: *Directorate General SSM
Governance and Operations / Enforcement and Sanctions Division (DG-SGO/ESA)*

Data Protection Officer (DPO): DPO@ecb.europa.eu

2. Who is actually conducting the processing activity?

☒ The data is processed by the ECB itself

The organisational unit conducting the processing activity is: *DG-SGO/ESA*

3. Purpose of the processing

Compliance with the [SSM Framework regulation ECB/2014/17](#) Article 136. In particular, where in carrying out its tasks under the SSM Regulation, the ECB has

reason to suspect that a criminal offence may have been committed, it shall request the relevant NCA to refer the matter to the appropriate authorities for investigation and possible criminal prosecution, in accordance with national law.

4. Description of the categories of data subjects

- ☒ ECB staff members
- ☒ Non-ECB staff members (agency staff, consultants, cost-free trainees or cost-free secondees working at the ECB)
- ☒ National Central Bank (NCB) or national competent authority (NCA) counterparts (in the ESCB or SSM context)
- ☒ Other: *any person relevant in the context of potential criminal offences procedure, e.g. staff of supervised banks.*

5. Description of the categories of personal data processed

- ☒ Personal details (name, address etc)
- ☒ Education & Training details
- ☒ Employment details
- ☒ Financial details

6. The categories or recipients to whom the personal data have been or will be disclosed, including the recipient(s) of the data in Member States, third countries or international organisations

- ☒ Designated ECB staff members
- ☒ Designated NCB or NCA staff members in the ESCB or SSM context



Other: *Authorities for investigation*

7. Retention time

10 years after the date of closure of the case.